

PTO/SB/05 (4/98)

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+ Approved for use through 09/30/2000. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Attorney Docket No. MS150961.1 First Inventor or Application Identifier Roger W. Sprague, et al. PATENT APPLICATION Title METHOD AND APPARATUS FOR PROVIDING....

TRANSMITTAL
(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

EXPRESS Mail Label No. EK243449018US

UTILITY

	APPLICATION ELEMENTS napter 600 concerning utility patent application contents.	Assistant Commissioner for Patents  ADDRESS TO: Box Patent Application  Washington, DC, 20231							
1: (S 2. Sr (p)	Fee Transmittal Form (e.g., PTO/SB/17) submit an original and a duplicate for fee processing) specification [Total Pages 52] referred arrangement set forth below) Descriptive title of the Invention	5. Microfiche Computer Program (Appendix) 6. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. Computer Readable Copy							
- S - F	Cross References to Related Applications Statement Regarding Fed sponsored R & D Reference to Microfiche Appendix	b. Paper Copy (identical to computer copy)  c. Statement verifying identity of above copies							
	Background of the Invention	ACCOMPANYING APPLICATION PARTS							
- E	Brief Summary of the Invention Brief Description of the Drawings ( <i>if filed</i> ) Detailed Description	7. Assignment Papers (cover sheet & document(s)) 8. 37 C.F.R.§3.73(b) Statement Power of (when there is an assignee) Attorney							
- (	Claim(s)	9. English Translation Document (if applicable)							
	Abstract of the Disclosure rawing(s) (35 U.S.C. 113) [Total Sheets 26]	10. Information Disclosure Copies of IDS Statement (IDS)/PTO-1449 Citations							
4. Oath or	Declaration [Total Pages 2 ]	11. Preliminary Amendment							
а. [	Return Receipt Postcard (MPEP 503)								
b.	Copy from a prior application (37 C.F.R. § 1.63 (for continuation/divisional with Box 16 completed)	13. Statement(s) Statement filed in prior application							
	i. DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application	(PTO/SB/09-12) Status still proper and desired  Certified Copy of Priority Document(s)  (if foreign priority is claimed)							
	see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).	Utilet							
FEES, A SMA	*NOTE FOR ITEMS 1.8.13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).								
16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:  Continuation  Divisional  Continuation-in-part (CIP)  Of prior application No:  Prior application information:  Examiner  For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.									
	17. CORRESPOND	DENCE ADDRESS							
☐ Custor	mer Number or Bar Code Label (Insert Customer No. or Al	or  Correspondence address below							
Name	Amin, Eschweiler & Turocy, LLP								
<u> </u>	Himanshu S. Amin								
Address	24th Floor, National City Center 1900 East 9th Street								
City	Cleveland State	Ohio Zip Code 44114							
Country	Telephone	216-696-8730 Fax 216-696-8731							
Name (	(РгіпиТуре) Himanshu S. Amin	Registration No. (Attorney/Agent) 40,894							
Signatur	Timmunonu O. Timmi	Date August 28, 2000							

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

PTO/SB/17 (2/98)
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Compl te if Known					
Application Number		200			
Filing Date	Herewith	7.0			
First Named Inventor	Roger W. Sprague, et al.	3			
Examiner Name		0.05			
Group / Art Unit		į			
Attorney Docket No.	MS150961.1				

## Patent fees are subject to annual revision on October 1. These are the fees effective November 10, 1998.

Small Entity payments <u>must</u> be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12.

See 37 C.F.R. §§ 1.27 and 1.28.

TOTAL AMOUNT OF PAYMENT (\$)

Attorney Docket No.   MS150961.1									
METHOD OF PAYMENT (check one)	FEE CALCULATION (continued)								
The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:  Deposit  Deposit	3. ADDITIONAL FEES Large Entity Small Entity Fee Fee Fee Fee Code (\$) Code (\$)	Fee Paid							
Account Number 50-1063	105 130 205 65 Surcharge - late filing fee or oath								
Account Amin, Eschweiler & Turocy	127 50 227 25 Surcharge - late provisional filing fee or cover sheet.								
Charge Any Additional Charge the Issue Fee Set in	139 130 139 130 Non-English specification								
Fee Required Under 37 C.F.R. § 1.18 at the Mailing 37 C.F.R. §§ 1.16 and 1.17 of the Notice of Allowance	147 2,520 147 2,520 For filing a request for reexamination								
2. Payment Enclosed:	112 '920* 112 920* Requesting publication of SIR prior to Examiner action								
Check Money Order Other	113 1,840* 113 1,840* Requesting publication of SIR after Examiner action								
FEE CALCULATION	115 110 215 55 Extension for reply within first month								
1. BASIC FILING FEE	116 380 216 190 Extension for reply within second month								
	117 870 217 435 Extension for reply within third month	$\longrightarrow$							
Large Entity Small Entity Fee Fee Fee Fee Description Fee Paid	118 1,360 218 680 Extension for reply within fourth month	ļ							
Code (\$) Code (\$)  101 690 201 345 Utility filing fee 690	128 1,850 228 925 Extension for reply within fifth month	<u> </u>							
Total Color Color Collins Annual Color	119 300 219 150 Notice of Appeal								
106 310 206 155 Design filing fee	120 300 220 150 Filing a brief in support of an appeal	ļ							
107 480 207 240 Plant filing fee	121 260 221 130 Request for oral hearing	<b></b>							
108 690 208 345 Reissue filing fee	138 1,510 138 1,510 Petition to institute a public use proceeding								
114 150 214 75 Provisional filing fee	140 110 240 55 Petition to revive - unavoidable								
SUBTOTAL (1) (\$) 690.00	141 1,210 241 605 Petition to revive - unintentional								
2. EXTRA CLAIM FEES Fee from	142 1,210 242 605 Utility issue fee (or reissue)								
Extra Claims below Fee Paid	143 430 243 215 Design issue fee								
Total Claims 50 -20** = 30 × 18 = 540 Independent 141 3** - 6 × 70 = 550	144 580 244 290 Plant issue fee								
Claims	122 130 122 130 Petitions to the Commissioner								
	123 50 123 50 Petitions related to provisional applications								
**or number previously paid, if greater; For Reissues, see below Large Entity Small Entity	126 240 126 240 Submission of Information Disclosure Stmt								
Fee Fee Fee Fee Description	581 40 581 40 Recording each patent assignment per								
Code (\$) Code (\$)  103 18 203 9 Claims in excess of 20	property (times number of properties)  146 760 246 380 Ellipp a properties of the first solution.	40.00							
102 78 202 39 Independent claims in excess of 3	Filing a submission after final rejection (37 CFR 1.129(a))								
104 260 204 130 Multiple dependent claim, if not paid	149 760 249 380 For each additional invention to be examined (37 CFR 1.129(b))								
109 78 209 39 ** Reissue independent claims over original patent	Other fee (specify)								
110 18 210 9 ** Reissue claims in excess of 20 and over original patent	Other fee (specify)								
SUBTOTAL (2) (\$1,398.00 Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 40.00									

SUBMITTED BY			Complete (if applicable)	
Typed or Printed Name	Himanshu S. Amin		Reg. Number	40,894
Signature	malle	Date 8/28/00	Deposit Account User ID	50-1063

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## Atty. Docket No. MS150961.1

## METHOD AND APPARATUS FOR PROVIDING DATA FROM A DATA SOURCE TO A DATA CONSUMER, AND FOR RENDERING DATA FROM A DATA PROVIDER TO AN INTERFACE

by

Roger W. Sprague and Daniel T. Travison

## **CERTIFICATION UNDER 37 CFR 1.10**

I hereby certify that the attached patent application (along with any other paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on this date <u>August 28, 2000</u>, in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number <u>EK243449018US</u> addressed to the: Box Patent Application, Assistant Commissioner for Patents, Washington, D.C. 20231.

Himanshu S. Amin
(Typed or Printed Name of Person Mailing Paper)

(Signature of Person Mailing Paper)